

## **AUDIT COMMITTEE – 26TH FEBRUARY 2019**

### **Report of the Head of Strategic Support**

#### WORK PROGRAMME

##### Purpose of Report

This report is submitted to enable the Committee to consider its Work Programme.

##### Action Requested

Following consideration of the Work Programme, the Committee is asked to consider any deletions, additions or amendments it wishes to make.

This will enable planning for future meetings to be undertaken, for reports and information to be prepared and for the attendance of officers and/or others to be arranged.

##### Background

The Work Programme agreed at the last meeting of the Committee is attached as an appendix for the consideration of the Committee.

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| ISSUE  | MEETING  |
|--|--|
| <b>Internal Audit Business</b>   | Ongoing  |
| Internal Audit Plan – Progress   | 11th June 2019<br><br>Quarterly  |
| Risk Management<br>(Risk Register)   | 11th June 2019<br><br>Quarterly - detailed report every six months, exception report quarters in-between.                                      |
| Council's Use of Regulation of Investigatory Powers Act (RIPA)                     | 11th June 2019<br><br>Quarterly  |
| Annual IT Health Check (Code of Connection)<br><b>Confidential Report</b>          | 11th June 2019<br><br>Annually   |
| 2018/19 Annual Internal Audit Report   | 11th June 2019<br><br>Annually   |
| 2018/19 Review of the effectiveness of Internal Audit (Feedback from Panel)        | 11th June 2019<br><br>Annually   |
| Internal Audit Charter   | 11th June 2019<br><br>Annually (for approval)  |
| 2018/19 Members' Allowances Claimed  | 11th June 2019<br><br>Annually   |
| Whistle Blowing and Anti-fraud, Corruption and Bribery                             | 11th June 2019<br><br>Annually   |
| Environmental Audits – Report on Outcomes  | 11th June 2019<br><br>Annually<br><br><i>Note: Six month exception report where identified actions are not implemented by the target date.</i> |
| 2018/19 Treasury Management Outturn  | 11th June 2019<br><br>Annually   |
| 2018/19 Statement of Accounts  | July 2019<br>(Accounts Meeting)<br><br>Annually  |
| 2018/19 Annual Governance Statement and Review of the Code of Corporate Governance | July 2019<br>(Accounts Meeting)<br><br>Annually  |
| Treasury Management Mid-Year   | November 2019  |

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| Review   | Annually   |
| 2019/20 Treasury Management Statement, Annual Investment Strategy and MRP Strategy | February 2020<br>Annually  |
| 2020/21 Internal Audit Plan  | February 2020<br>Annually  |
| Future of Local Public Audit   | Report on Government proposals considered 5th July 2011.<br>Further report once final regulations/guidelines are known.<br>Note:<br>Appointing Your External Auditor briefing note considered June 2016. |
| Policy for Engagement of External Auditors for non-audit work                      | Considered March 2013.<br>Review policy - date to be agreed  |
| <b>External Audit Business</b>   | Ongoing  |
| External Audit Progress Report and Technical Update                                | 11 <sup>th</sup> June 2019<br>Quarterly  |
| 2019/20 Annual Governance Report   | July 2019<br>(Accounts Meeting)<br>Annually  |
| 2018/19 Annual Audit Letter  | February 2020<br>Annually  |
| Certification of Claims and Returns (2019/20 Audit)                                | February 2020<br>Annually  |
| 2020/21 External Audit Plan  | February 2020<br>Annually  |